

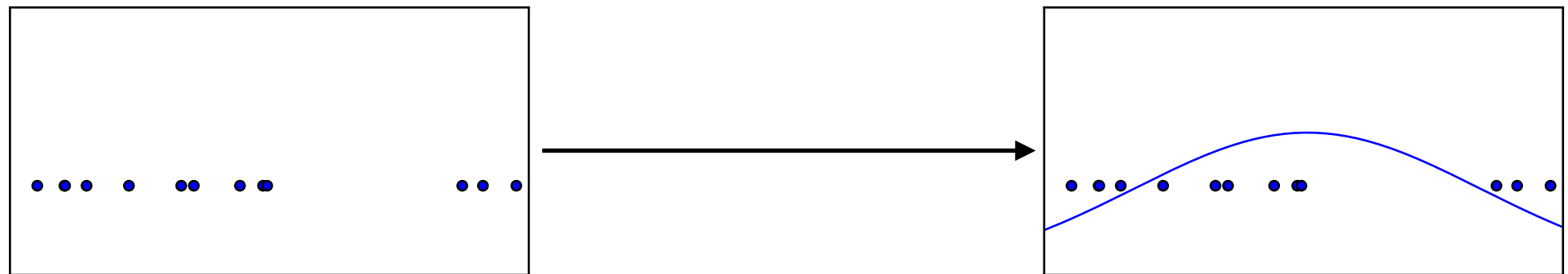
# Generative Adversarial Networks (GANs)

Ian Goodfellow, Research Scientist  
MLSLP Keynote, San Francisco 2016-09-13

OpenAI

# Generative Modeling

- Density estimation



- Sample generation

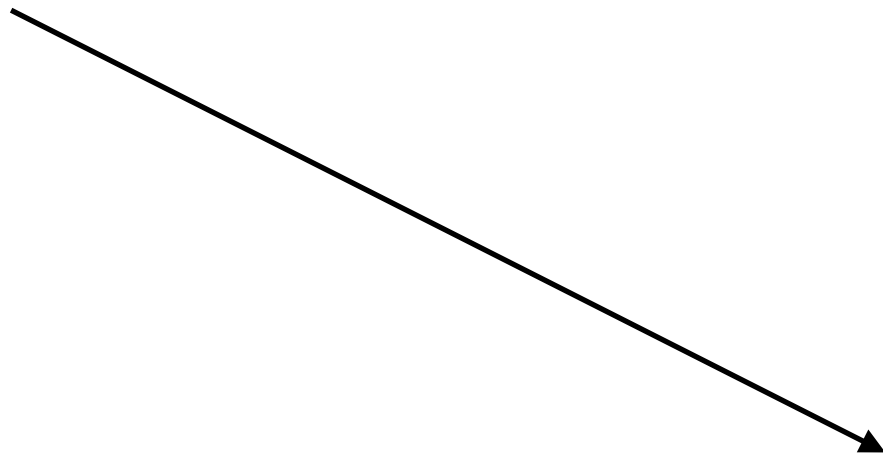


Training examples

Model samples

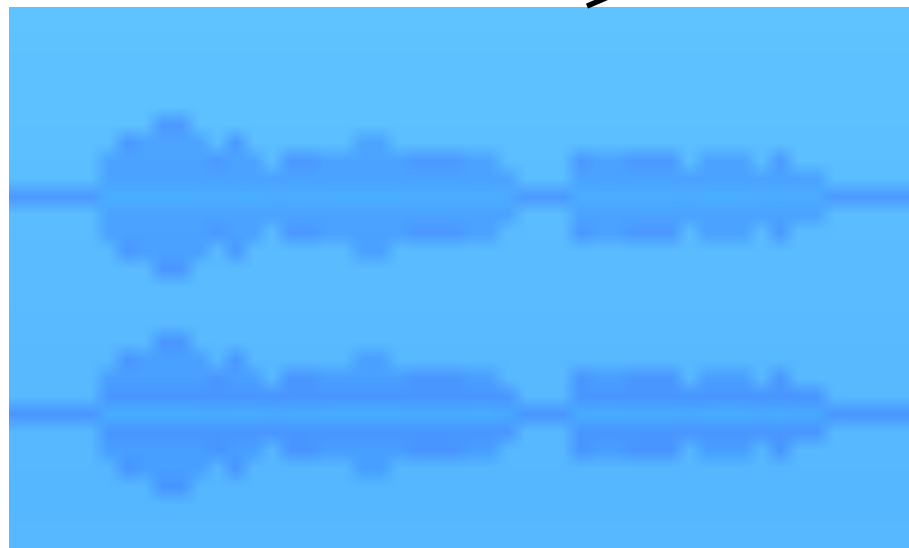
# Conditional Generative Modeling

SO, I REMEMBER WHEN THEY CAME HERE

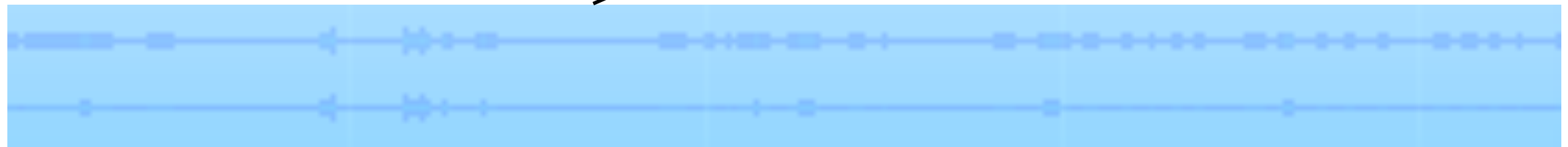


# Semi-supervised learning

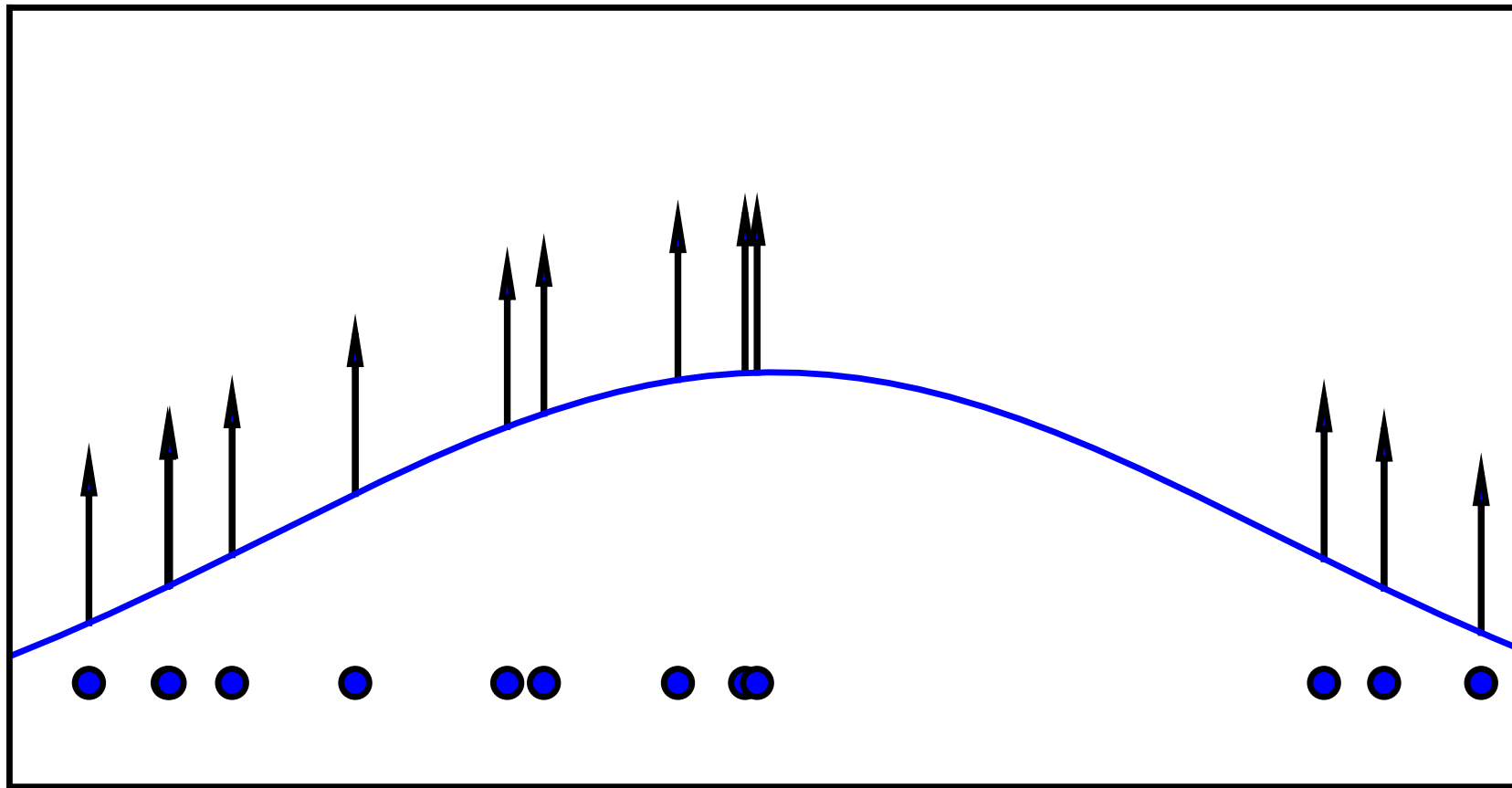
SO, I REMEMBER WHEN THEY CAME HERE



???

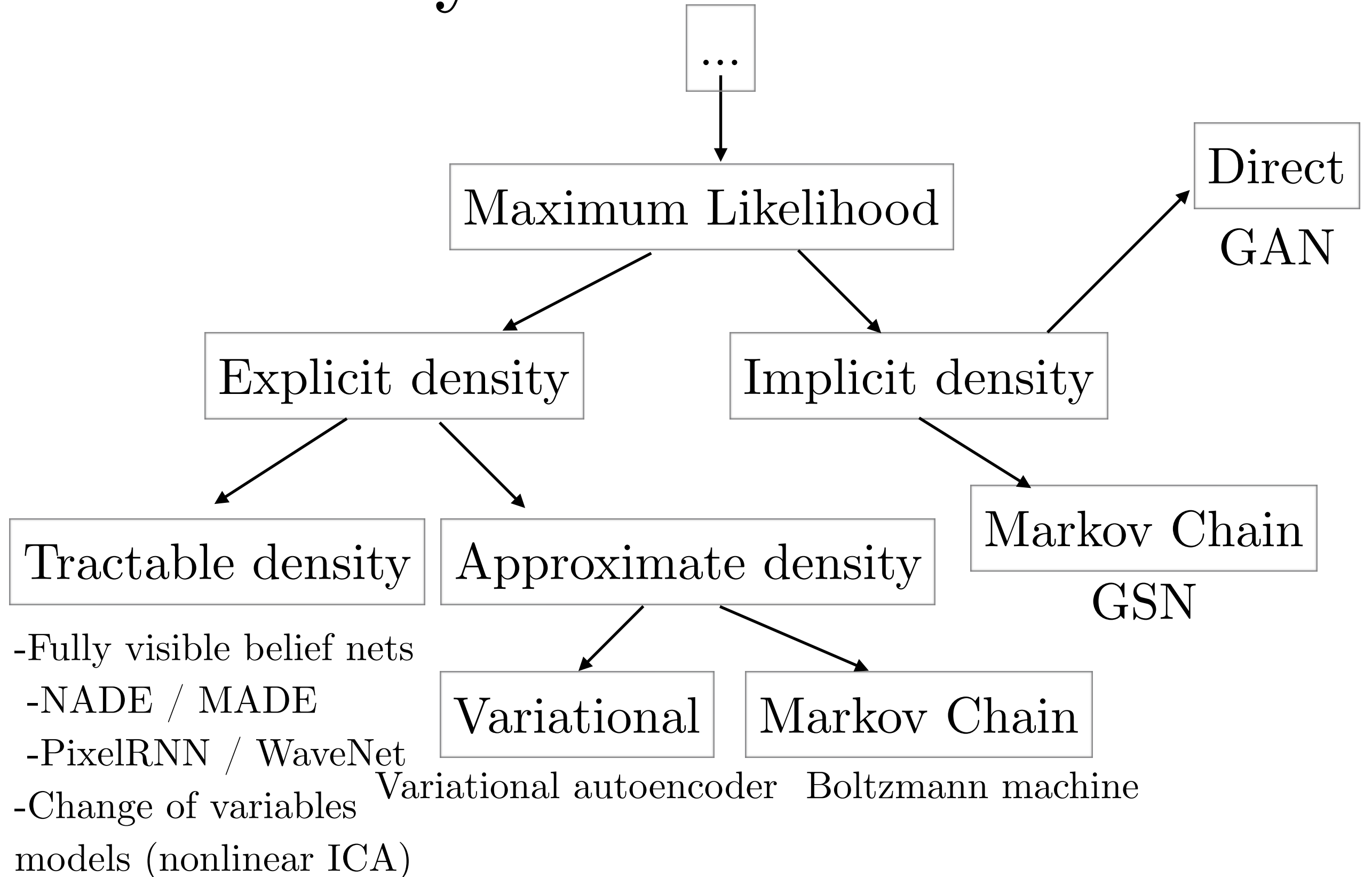


# Maximum Likelihood



$$\theta^* = \arg \max_{\theta} \mathbb{E}_{x \sim p_{\text{data}}} \log p_{\text{model}}(x \mid \theta)$$

# Taxonomy of Generative Models



# Fully Visible Belief Nets

- Explicit formula based on chain rule: (Frey et al, 1996)

$$p_{\text{model}}(\mathbf{x}) = p_{\text{model}}(x_1) \prod_{i=2}^n p_{\text{model}}(x_i \mid x_1, \dots, x_{i-1})$$

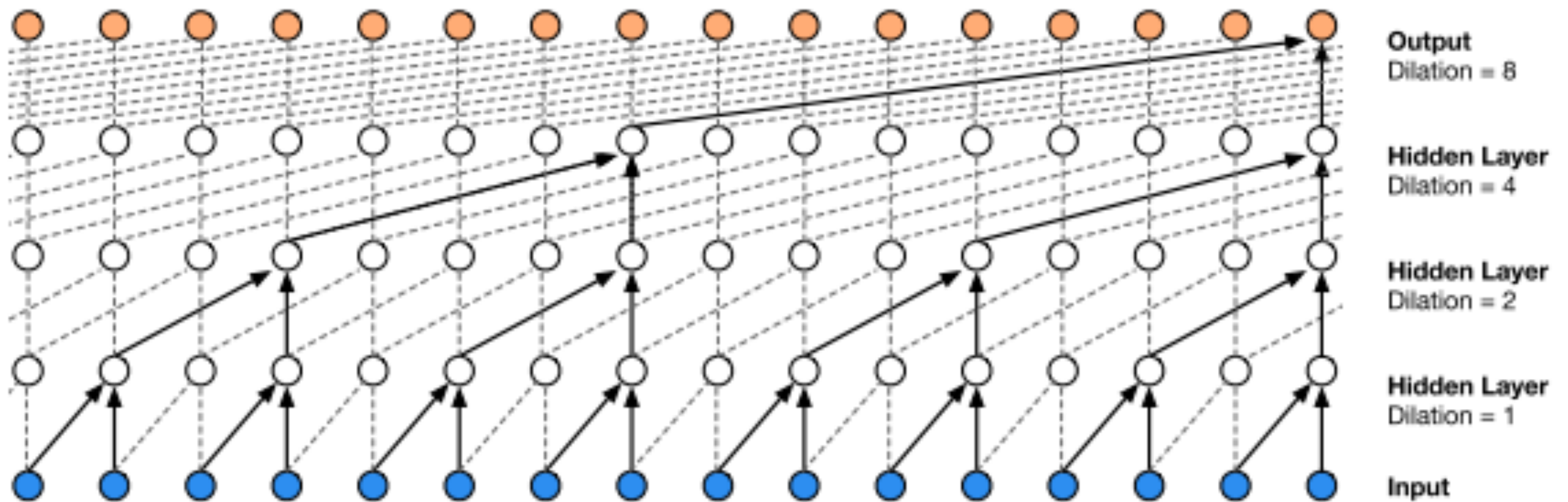
- Disadvantages:
  - $O(n)$  non-parallelizable steps to sample generation
  - No latent representation



PixelCNN elephants  
(van den Oord et al 2016)



# WaveNet



Amazing quality

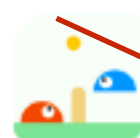
Sample generation slow

(Not sure how much

is just research code not

being optimized and how

much is intrinsic)



**hardmaru**  
@hardmaru

I quoted this claim at MLSLP, but as of 2016-09-19 I have been informed it in fact takes 2 minutes to synthesize one second of audio.

Follow

@hardmaru it takes 90 minutes to synthesize one second of audio.

RETWEETS  
**14**

LIKES  
**51**



12:38 PM - 8 Sep 2016

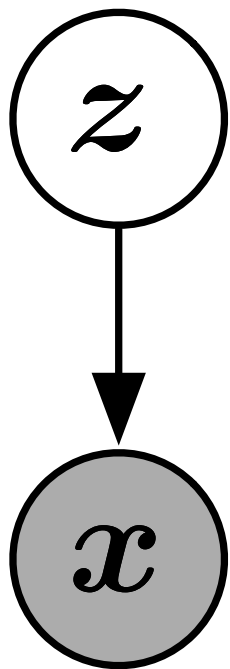


# GANs

- Have a fast, parallelizable sample generation process
- Use a latent code
- Are often regarded as producing the best samples
  - No good way to quantify this

# Generator Network

$$x = G(z; \theta^{(G)})$$



- Must be differentiable
  - In theory, could use REINFORCE for discrete variables
- No invertibility requirement
- Trainable for any size of  $z$
- Some guarantees require  $z$  to have higher dimension than  $x$
- Can make  $x$  conditionally Gaussian given  $z$  but need not do so

# Training Procedure

- Use SGD-like algorithm of choice (Adam) on two minibatches simultaneously:
  - A minibatch of training examples
  - A minibatch of generated samples
- Optional: run  $k$  steps of one player for every step of the other player.

# Minimax Game

$$J^{(D)} = -\frac{1}{2}\mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} \log D(\mathbf{x}) - \frac{1}{2}\mathbb{E}_{\mathbf{z}} \log (1 - D(G(\mathbf{z})))$$
$$J^{(G)} = -J^{(D)}$$

- Equilibrium is a saddle point of the discriminator loss
- Resembles Jensen-Shannon divergence
- Generator minimizes the log-probability of the discriminator being correct

# Non-Saturating Game

$$J^{(D)} = -\frac{1}{2}\mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} \log D(\mathbf{x}) - \frac{1}{2}\mathbb{E}_{\mathbf{z}} \log (1 - D(G(\mathbf{z})))$$

$$J^{(G)} = -\frac{1}{2}\mathbb{E}_{\mathbf{z}} \log D(G(\mathbf{z}))$$

- Equilibrium no longer describable with a single loss
- Generator maximizes the log-probability of the discriminator being mistaken
- Heuristically motivated; generator can still learn even when discriminator successfully rejects all generator samples

# Maximum Likelihood Game

$$J^{(D)} = -\frac{1}{2}\mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} \log D(\mathbf{x}) - \frac{1}{2}\mathbb{E}_{\mathbf{z}} \log (1 - D(G(\mathbf{z})))$$

$$J^{(G)} = -\frac{1}{2}\mathbb{E}_{\mathbf{z}} \exp(\sigma^{-1}(D(G(\mathbf{z}))))$$

When discriminator is optimal, the generator gradient matches that of maximum likelihood

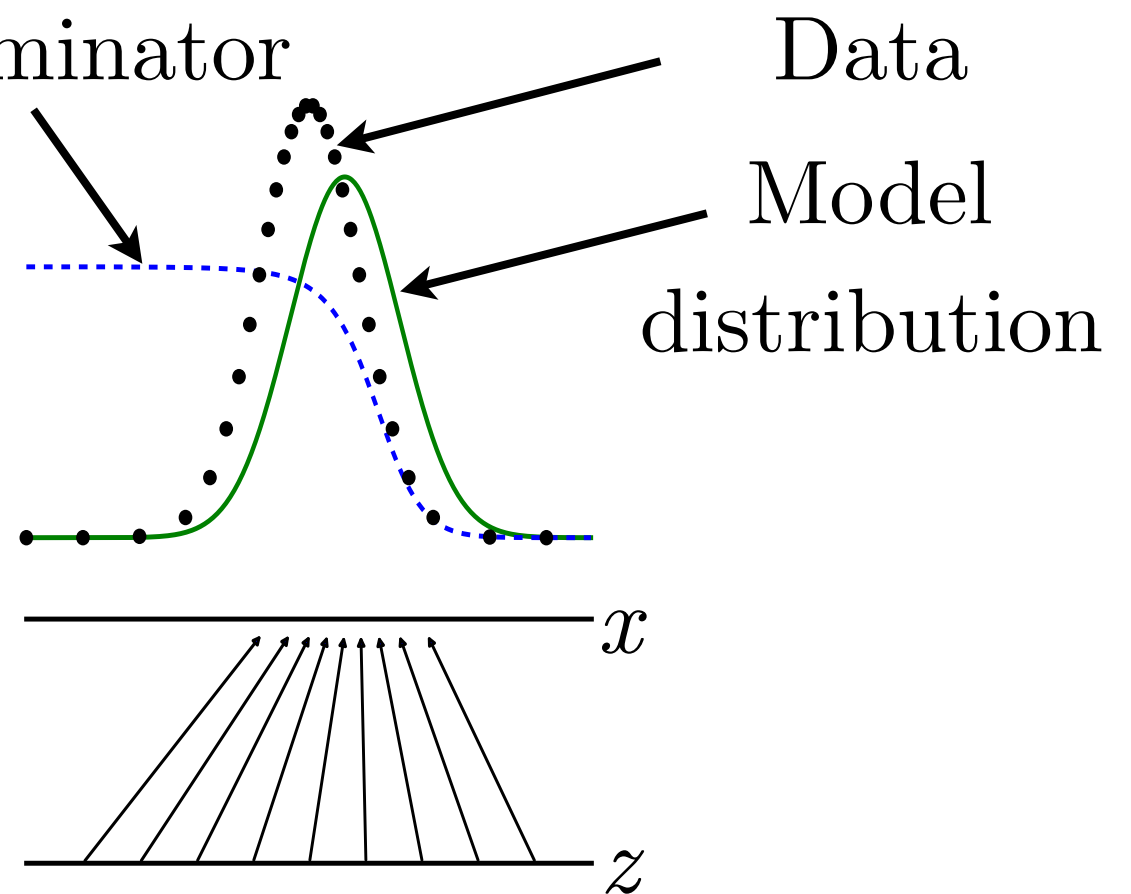
(“On Distinguishability Criteria for Estimating Generative Models”, Goodfellow 2014, pg 5)

# Discriminator Strategy

Optimal  $D(\mathbf{x})$  for any  $p_{\text{data}}(\mathbf{x})$  and  $p_{\text{model}}(\mathbf{x})$  is always

$$D(x) = \frac{p_{\text{data}}(x)}{p_{\text{data}}(x) + p_{\text{model}}(x)}$$

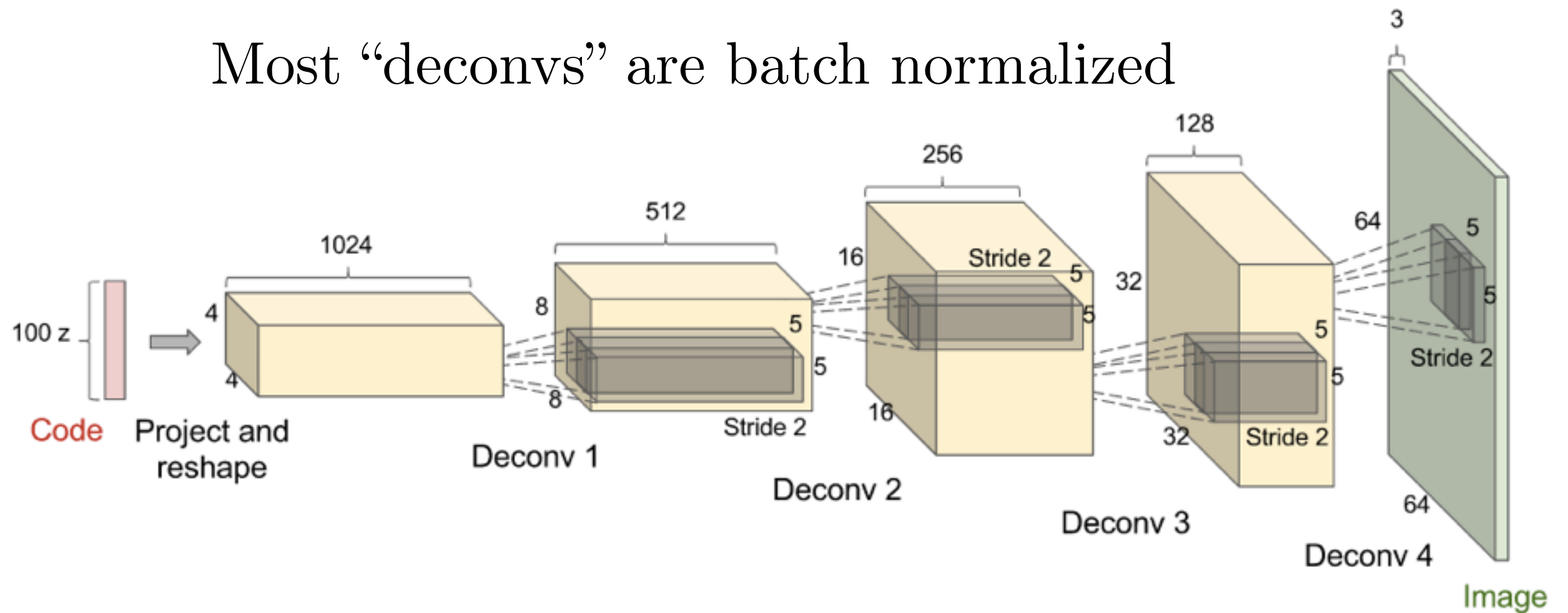
A *cooperative* rather than adversarial view of GANs: the discriminator tries to estimate the ratio of the data and model distributions, and informs the generator of its estimate in order to guide its improvements.





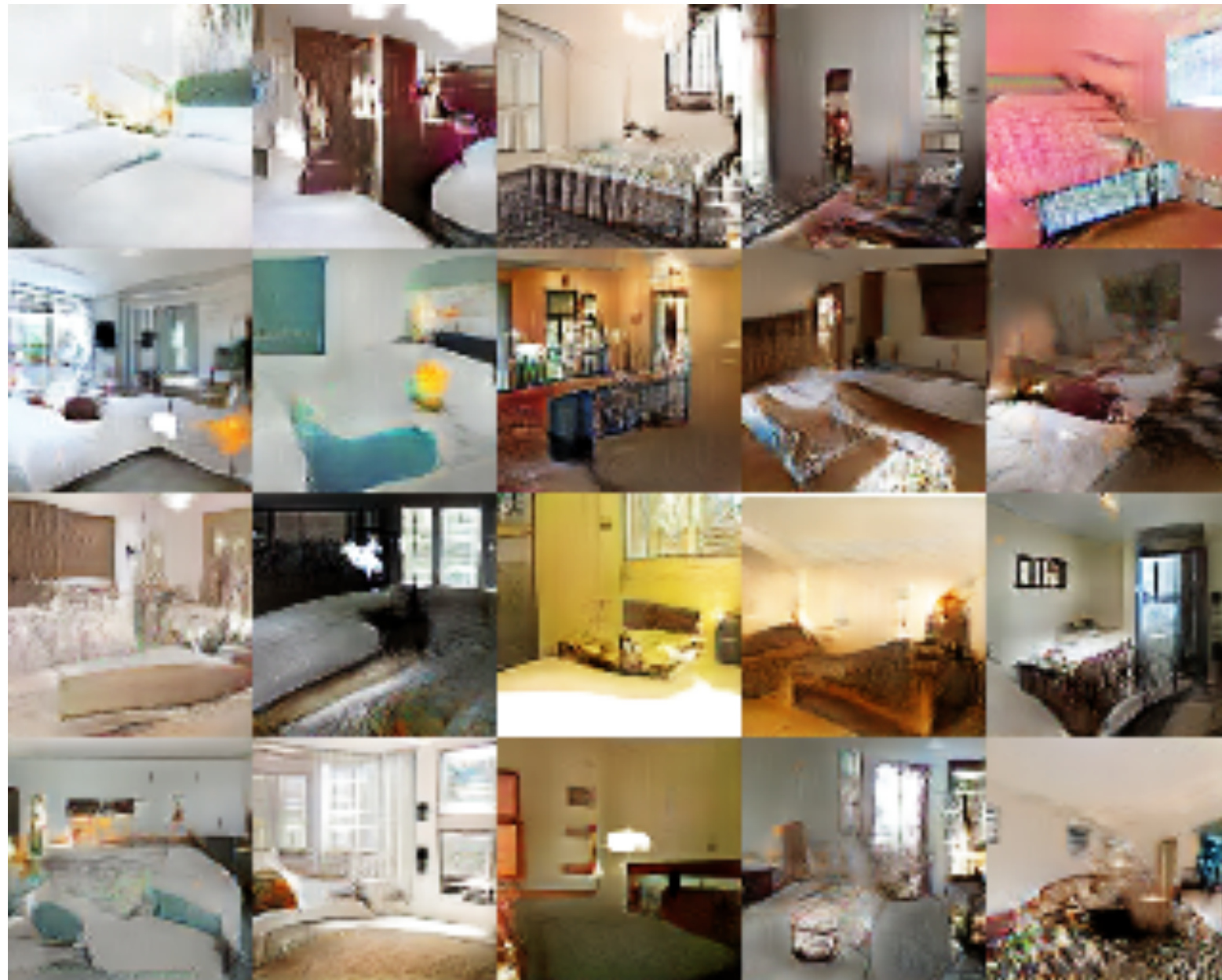
# DCGAN Architecture

Most “deconvs” are batch normalized



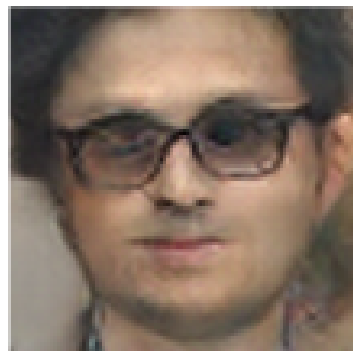
(Radford et al 2015)

# DCGANs for LSUN Bedrooms

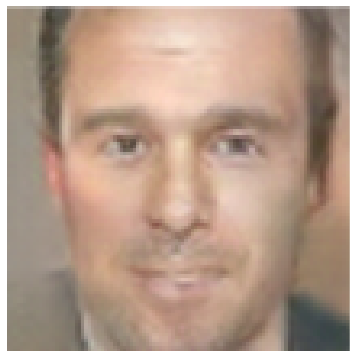


(Radford et al 2015)

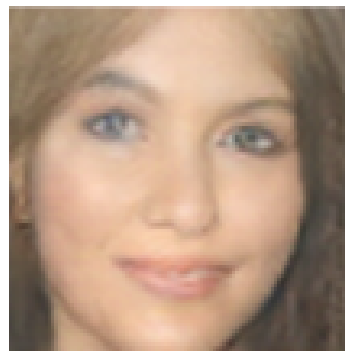
# Vector Space Arithmetic



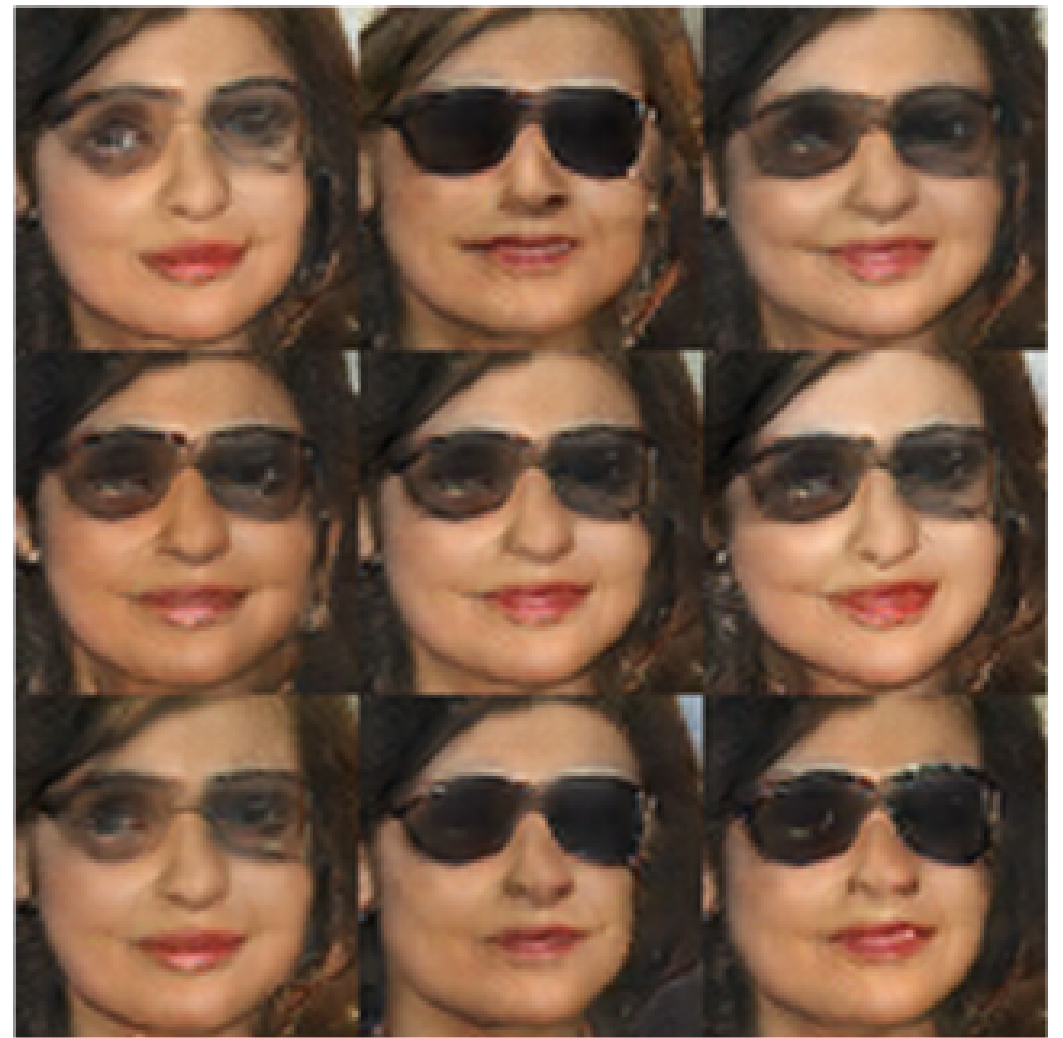
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+



=



Man  
with glasses

Man

Woman

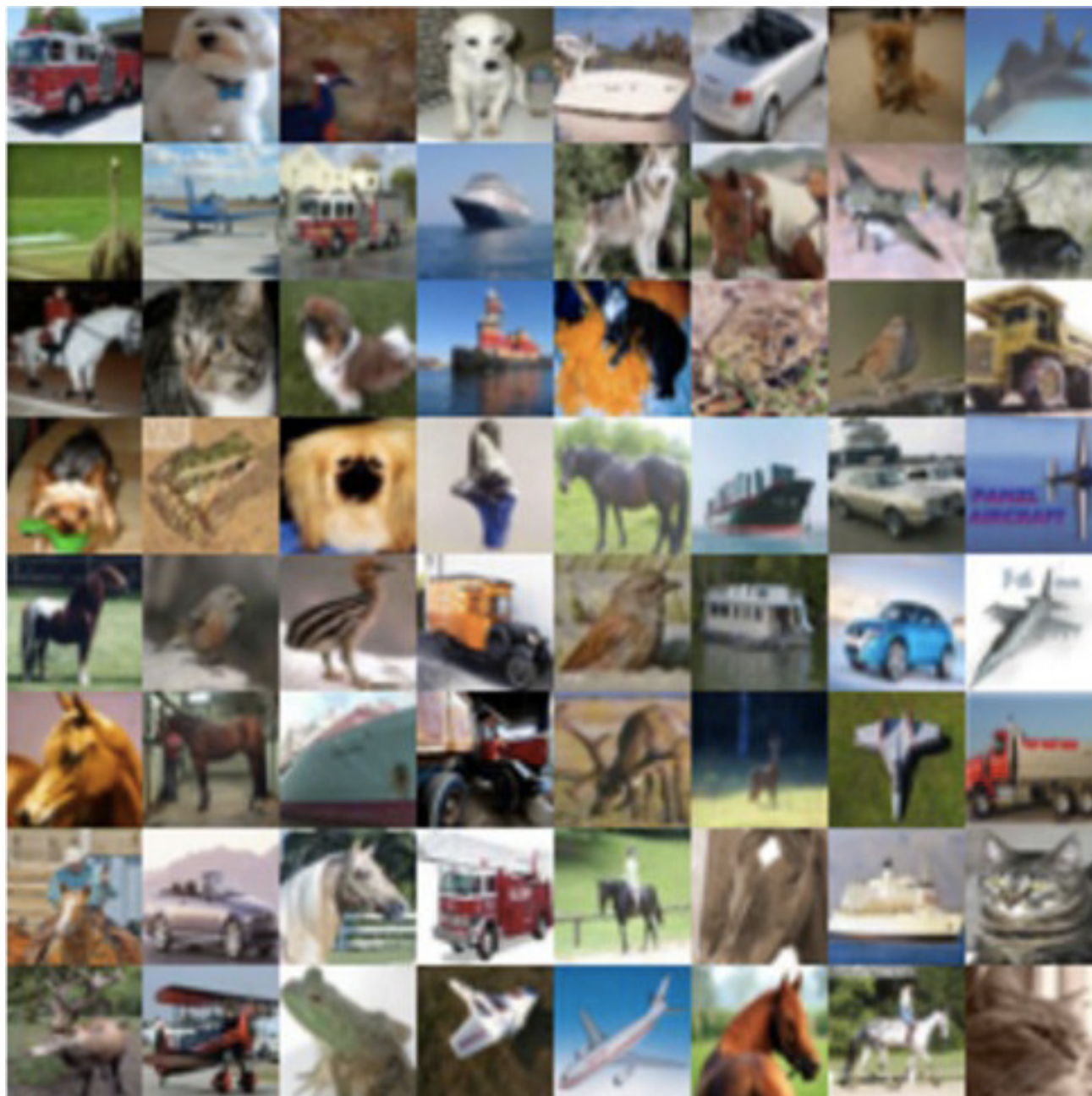
Woman with Glasses

# Mode Collapse

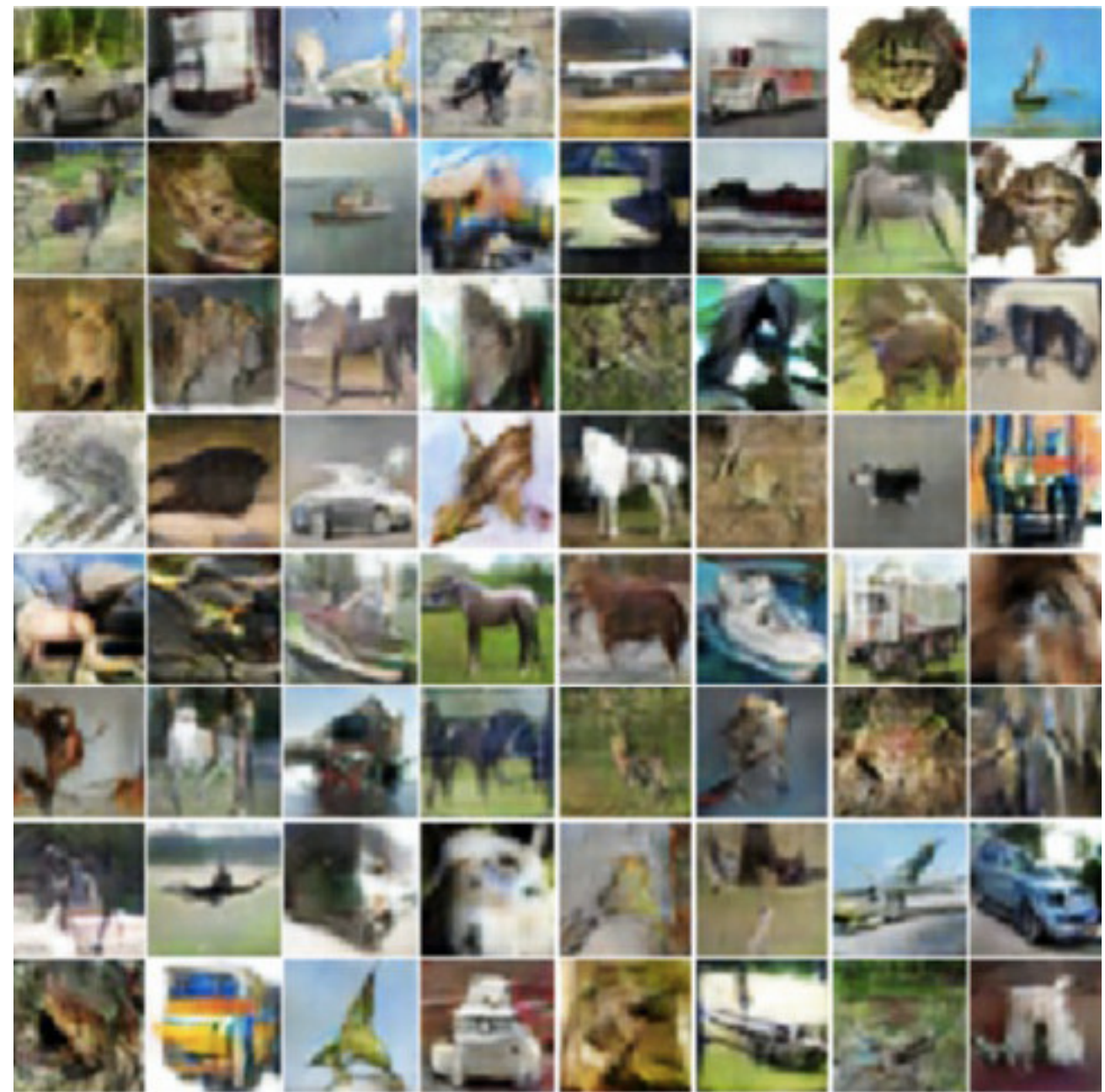
- Fully optimizing the discriminator with the generator held constant is safe
- Fully optimizing the generator with the discriminator held constant results in mapping all points to the argmax of the discriminator
- Can partially fix this by adding nearest-neighbor features constructed from the current minibatch to the discriminator (“minibatch GAN”)  
(Salimans et al 2016)



# Minibatch GAN on CIFAR



Training Data

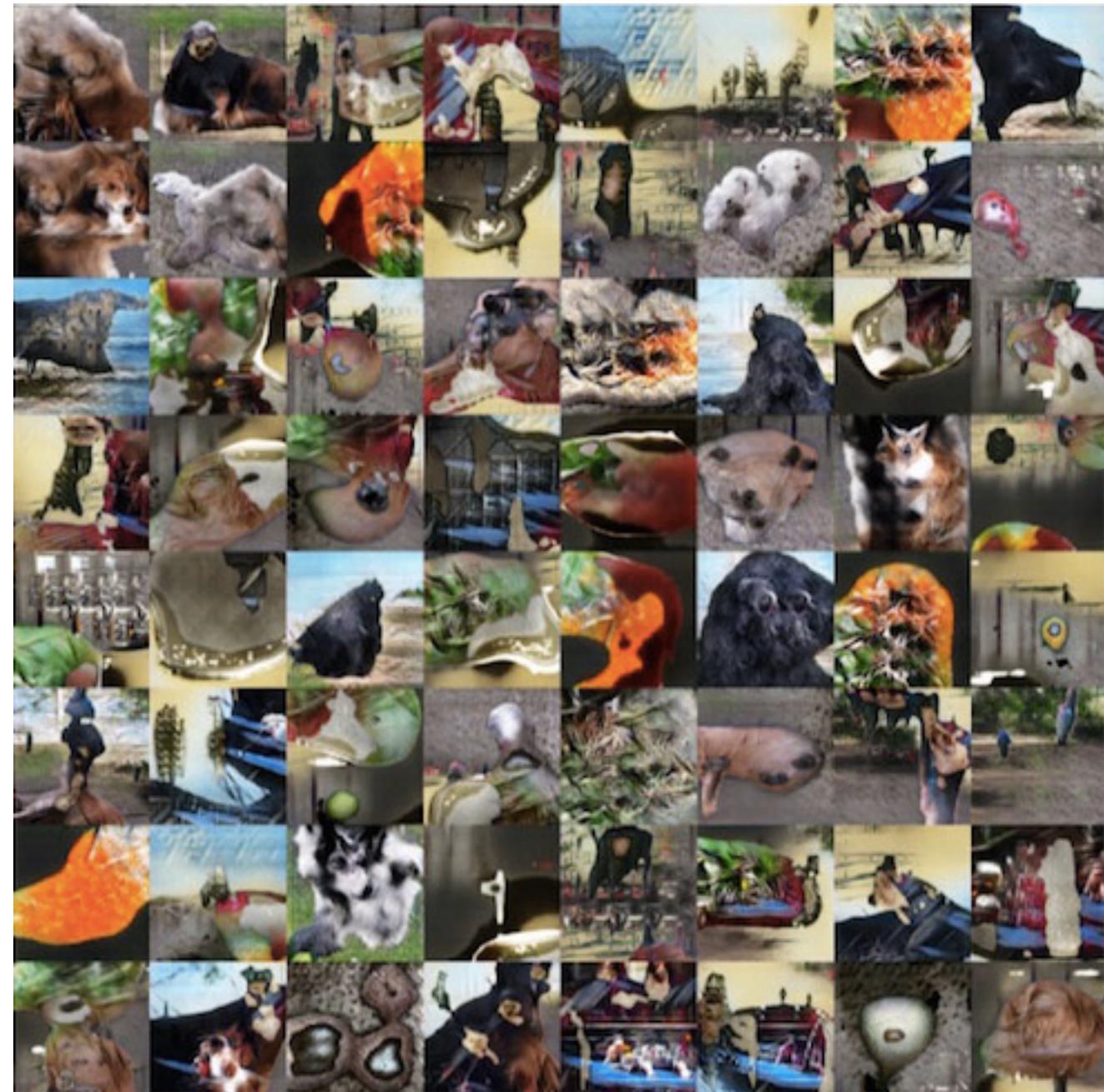
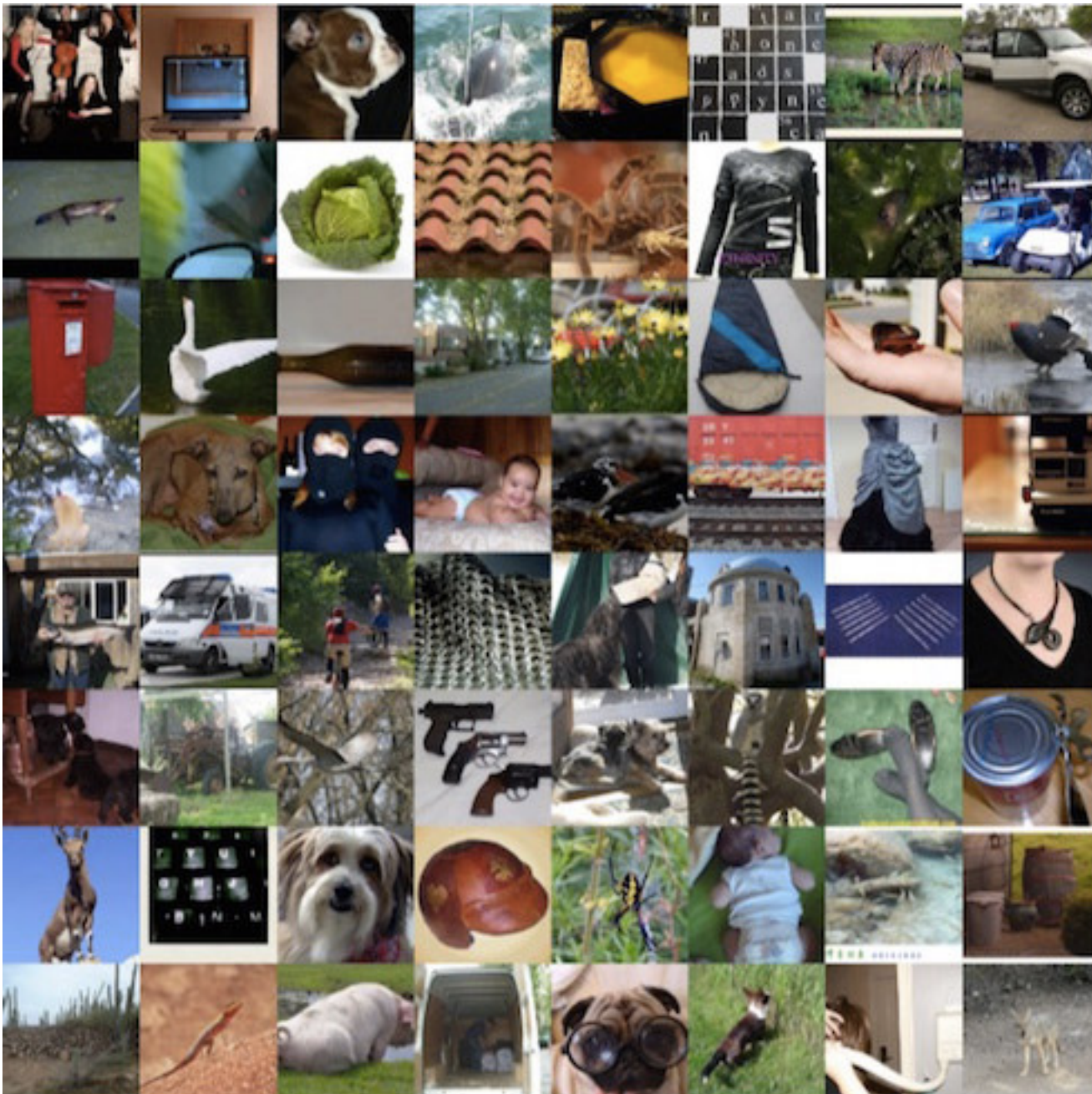


Samples

(Salimans et al 2016)



# Minibatch GAN on ImageNet

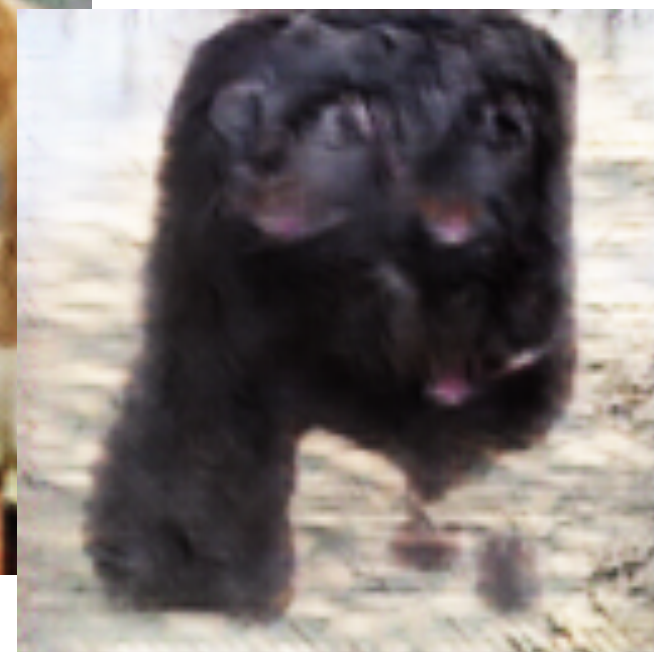
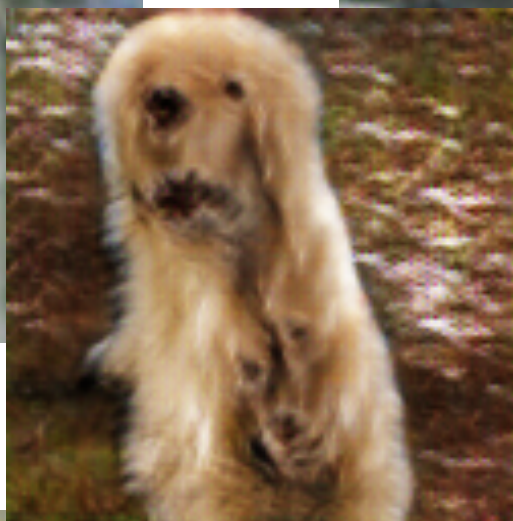
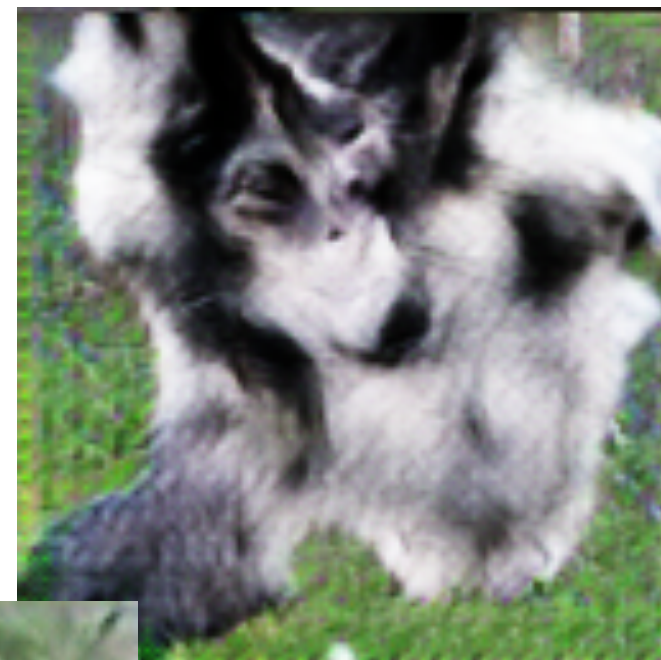
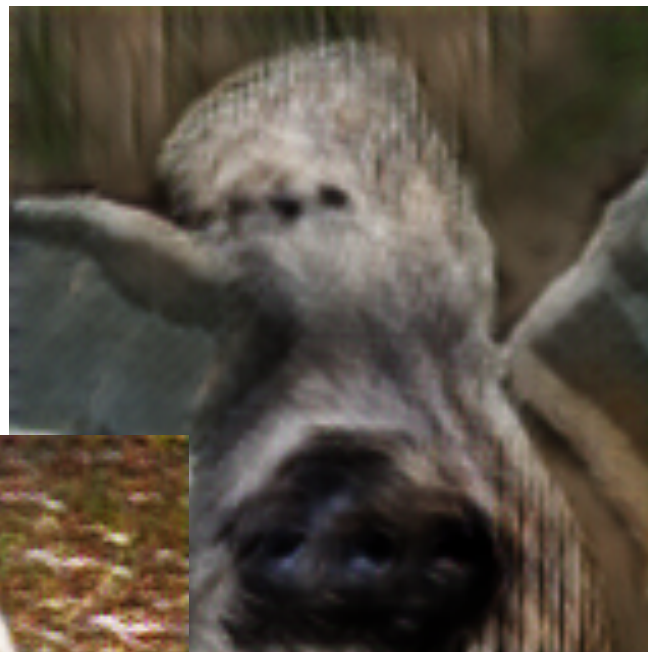
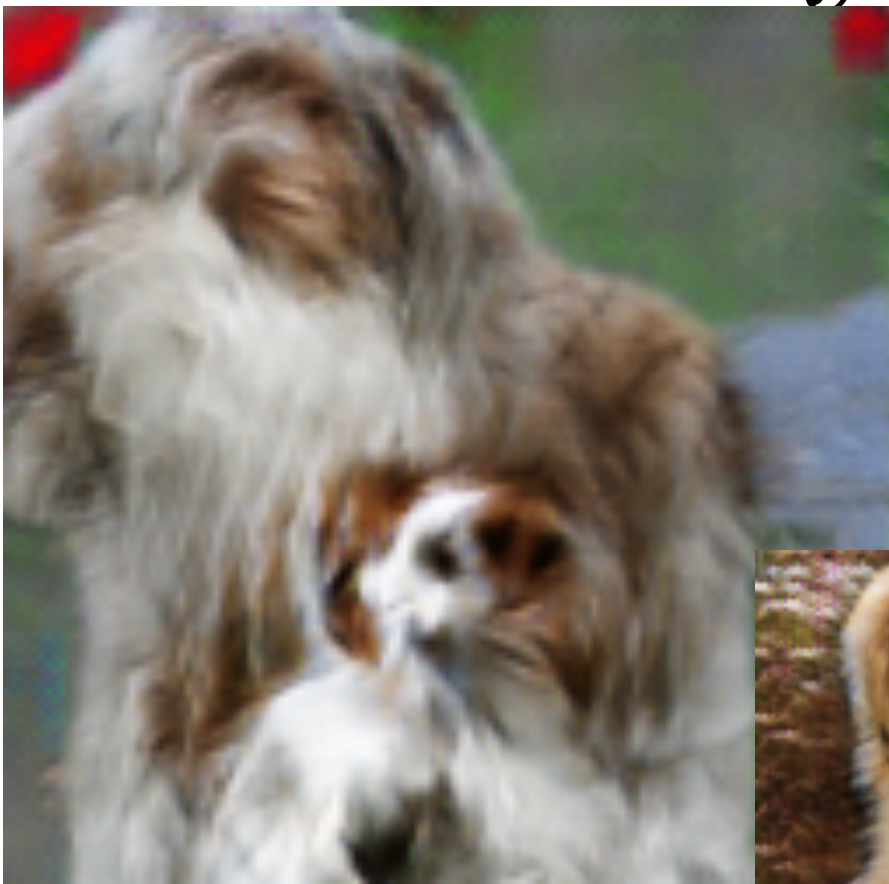


(Salimans et al 2016)

(Goodfellow 2016)



# Cherry-Picked Samples

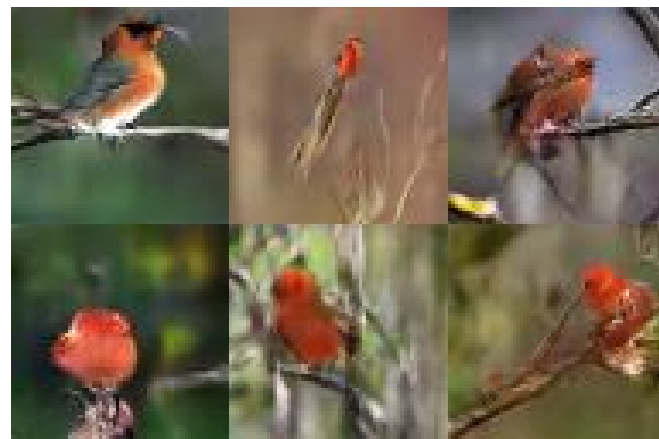




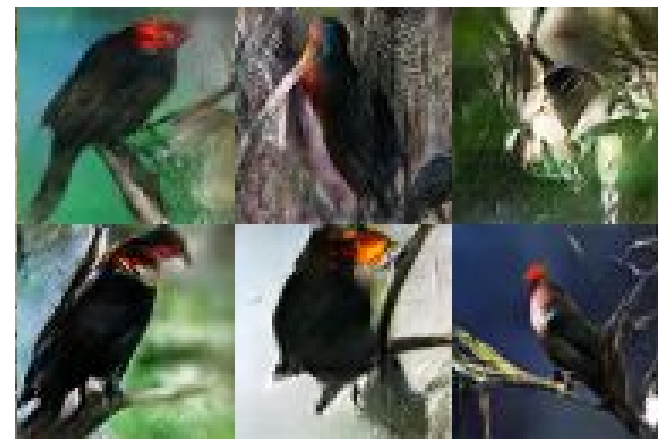
# Conditional Generation: Text to Image

Output distributions with lower entropy are easier

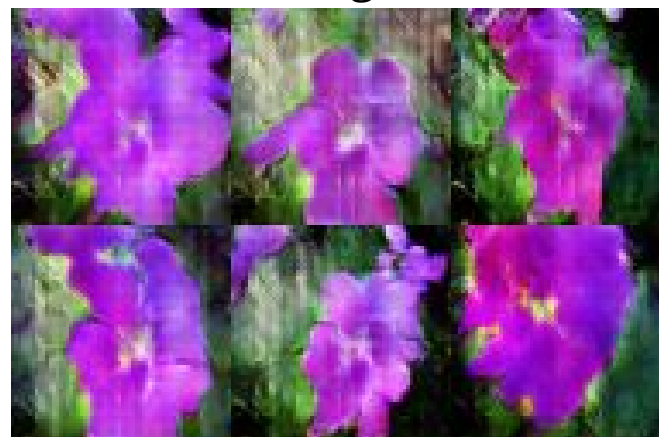
this small bird has a pink breast and crown, and black primaries and secondaries.



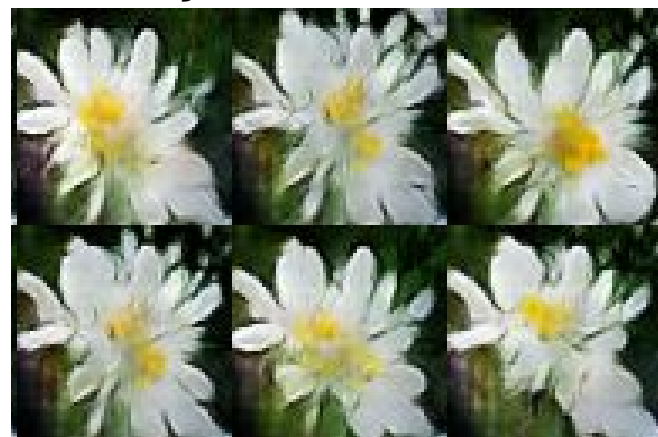
this magnificent fellow is almost all black with a red crest, and white cheek patch.



the flower has petals that are bright pinkish purple with white stigma



this white and yellow flower have thin white petals and a round yellow stamen



(Reed et al 2016)

(Goodfellow 2016)

# Semi-Supervised Classification

## MNIST (Permutation Invariant)

Model	Number of incorrectly predicted test examples for a given number of labeled samples			
	20	50	100	200
DGN [21]			333 $\pm$ 14	
Virtual Adversarial [22]			212	
CatGAN [14]			191 $\pm$ 10	
Skip Deep Generative Model [23]			132 $\pm$ 7	
Ladder network [24]			106 $\pm$ 37	
Auxiliary Deep Generative Model [23]			96 $\pm$ 2	
Our model	1677 $\pm$ 452	221 $\pm$ 136	93 $\pm$ 6.5	90 $\pm$ 4.2
Ensemble of 10 of our models	1134 $\pm$ 445	142 $\pm$ 96	86 $\pm$ 5.6	81 $\pm$ 4.3

(Salimans et al 2016)

(Goodfellow 2016)

# Semi-Supervised Classification

## CIFAR-10

Model	Test error rate for a given number of labeled samples			
	1000	2000	4000	8000
Ladder network [24]			$20.40 \pm 0.47$	
CatGAN [14]			$19.58 \pm 0.46$	
Our model	$21.83 \pm 2.01$	$19.61 \pm 2.09$	$18.63 \pm 2.32$	$17.72 \pm 1.82$
Ensemble of 10 of our models	$19.22 \pm 0.54$	$17.25 \pm 0.66$	$15.59 \pm 0.47$	$14.87 \pm 0.89$

## SVHN

Model	Percentage of incorrectly predicted test examples for a given number of labeled samples		
	500	1000	2000
DGN [21]		$36.02 \pm 0.10$	
Virtual Adversarial [22]		24.63	
Auxiliary Deep Generative Model [23]		22.86	
Skip Deep Generative Model [23]		$16.61 \pm 0.24$	
Our model	$18.44 \pm 4.8$	$8.11 \pm 1.3$	$6.16 \pm 0.58$
Ensemble of 10 of our models		$5.88 \pm 1.0$	

(Salimans et al 2016)

(Goodfellow 2016)

# Optimization and Games

Optimization: find a minimum:

$$\boldsymbol{\theta}^* = \operatorname{argmin}_{\boldsymbol{\theta}} J(\boldsymbol{\theta})$$

Game:

Player 1 controls  $\boldsymbol{\theta}^{(1)}$

Player 2 controls  $\boldsymbol{\theta}^{(2)}$

Player 1 wants to minimize  $J^{(1)}(\boldsymbol{\theta}^{(1)}, \boldsymbol{\theta}^{(2)})$

Player 2 wants to minimize  $J^{(2)}(\boldsymbol{\theta}^{(1)}, \boldsymbol{\theta}^{(2)})$

Depending on  $J$  functions, they may compete or cooperate.

# Other Games in AI

- Robust optimization / robust control
  - for security/safety, e.g. resisting adversarial examples
- Domain-adversarial learning for domain adaptation
- Adversarial privacy
- Guided cost learning
- Predictability minimization
- ...

# Conclusion

- GANs are generative models that use supervised learning to approximate an intractable cost function
- GANs may be useful for text-to-speech and for speech recognition, especially in the semi-supervised setting
- Finding Nash equilibria in high-dimensional, continuous, non-convex games is an important open research problem