## Adversarial Robustness for Aligned AI

Ian Goodfellow, Staff Research NIPS 2017 Workshop on Aligned Artificial Intelligence

Many thanks to Catherine Olsson for feedback on drafts

#### The Alignment Problem



(This is now fixed. Don't try it!)

#### Main Takeaway

• My claim: if you want to use alignment as a means of guaranteeing safety, you probably need to solve the adversarial robustness problem first

## Why the "if"?

- I don't want to imply that alignment is the only or best path to providing safety mechanisms
- Some problematic aspects of alignment
  - Different people have different values
  - People can have bad values
  - Difficulty / lower probability of success. Need to model a black box, rather than a first principle (like low-impact, reversibility, etc.)
- Alignment may not be necessary
  - People can coexist and cooperate without being fully aligned

Some context: many people have already been working on alignment for decades

- Consider alignment to be "learning and respecting human preferences"
- Object recognition is "human preferences about how to categorize images"
- Sentiment analysis is "human preferences about how to categorize sentences"

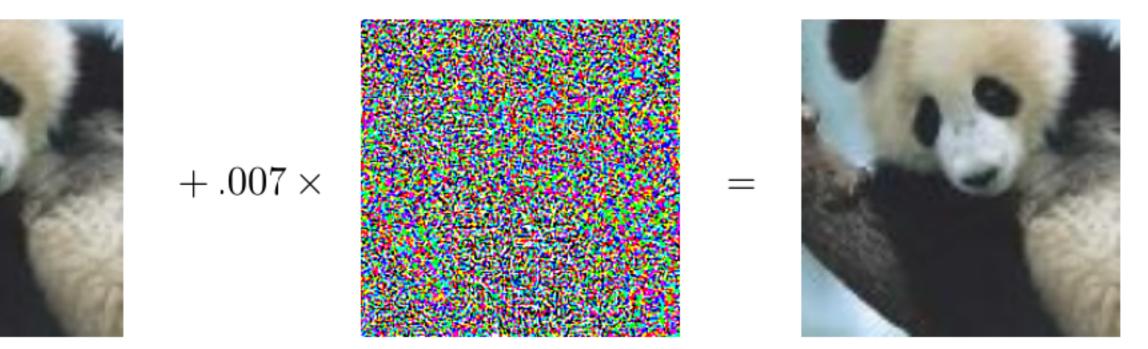
# What do we want from alignment?

- Alignment is often suggested as something that is primarily a concern for RL, where an agent maximizes a reward
  - but we should want alignment for supervised learning too
- Alignment can make better products that are more *useful*
- Many want to rely on alignment to make systems *safe* 
  - Our methods of providing alignment are not (yet?) reliable enough to be used for this purpose

# Improving RL with human input

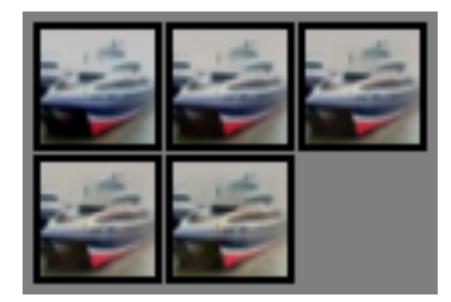
- Much work focuses on making RL more like supervised learning
  - Reward based on a model of human preferences
  - Human demonstrations
  - Human feedback
- This can be good for RL *capabilities* 
  - The original AlphaGo bootstrapped from observing human games
  - OpenAI's "Learning from Human Feedback" shows successful learning to backflip
- This makes RL more like supervised learning and makes it *work*, but does it make it *robust*?

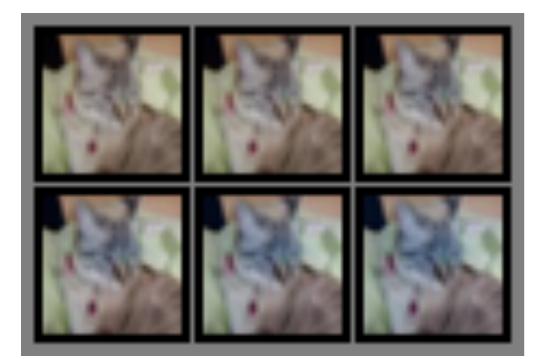
#### Adversarial Examples



#### Timeline:

"Adversarial Classification" Dalvi et al 2004: fool spam filter "Evasion Attacks Against Machine Learning at Test Time" Biggio 2013: fool neural nets Szegedy et al 2013: fool ImageNet classifiers imperceptibly Goodfellow et al 2014: cheap, closed form attack Maximizing model's estimate of human preference for input to be categorized as "airplane"







## Sampling: an easier task?

- Absolutely maximizing human satisfaction might to be too hard. What about sampling from the set of things humans have liked before?
- Even though this problem is easier, it's still notoriously difficult (GANs and other generative models)
- GANs have a trick to get more data
  - Start with a small set of data that the human likes
  - Generate millions of examples and assume that the human dislikes them all

#### Spectrally Normalized GANs

#### Welsh Springer Spaniel



Palace



(Miyato et al., 2017)

Pizza



This is better than the adversarial panda, but still not a satisfying safety mechanism. Progressive GAN has learned that humans think cats are furry animals accompanied by floating symbols

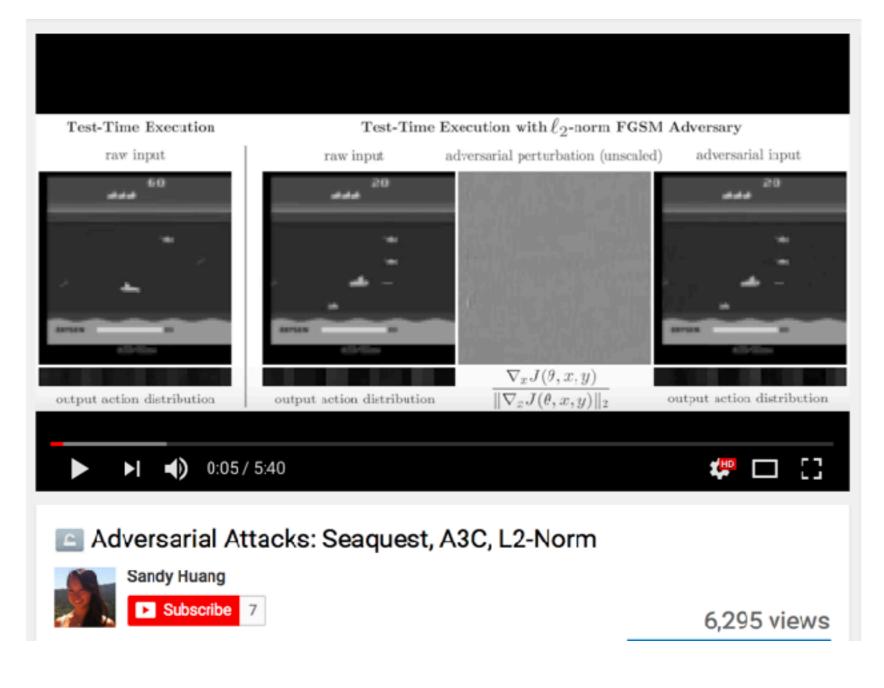


(Karras et al, 2017)

#### Confidence

- Many proposals for achieving aligned behavior rely on accurate estimates of an agents' confidence, or rely on the agent having low confidence in some scenarios (e.g. Hadfield-Menell et al 2017)
- Unfortunately, adversarial examples often have much higher confidence than naturally occurring, correctly processed examples

#### Adversarial Examples for RL



 $(\underline{\text{Huang et al.}}, 2017)$ 

## Summary so Far

- High level strategies will fail if low-level building blocks are not robust
- Reward maximizing places low-level building blocks under exactly the same situation as adversarial attack
- Current ML systems fail frequently and gracelessly under adversarial attack; have higher confidence when wrong

#### What are we doing about it?

- Two recent techniques for achieving adversarial robustness:
  - Thermometer codes
  - Ensemble adversarial training
- A long road ahead

#### Thermometer Encoding: One Hot Way to Resist Adversarial Examples







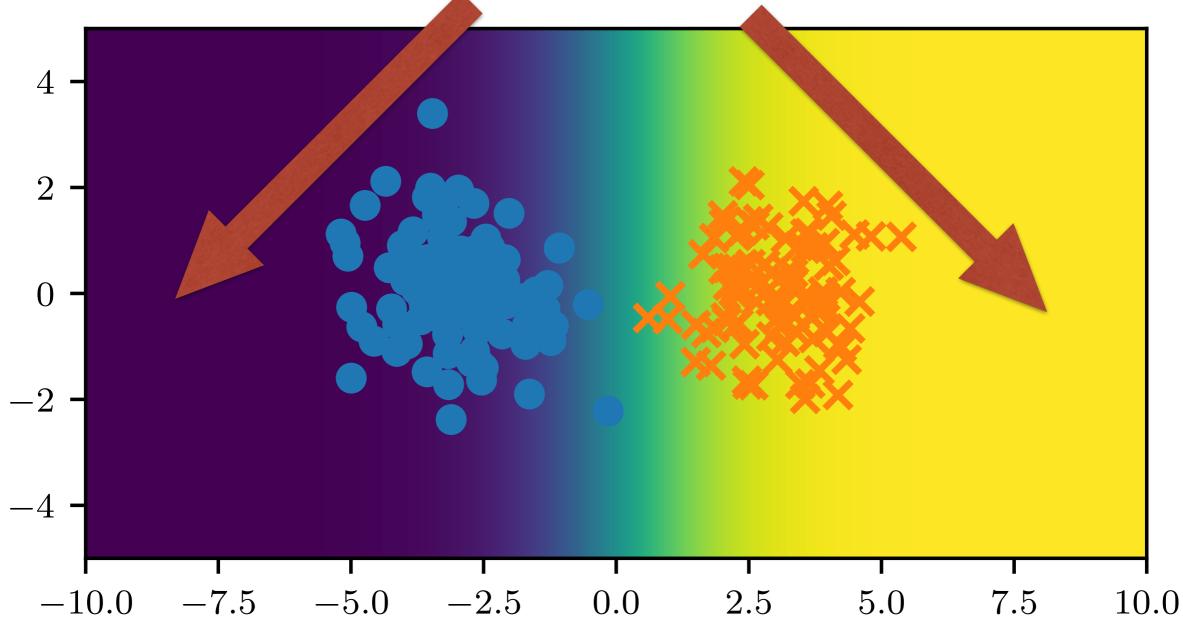


Jacob Aurko Roy\* Colin Raffel Ian Buckman\* Goodfellow

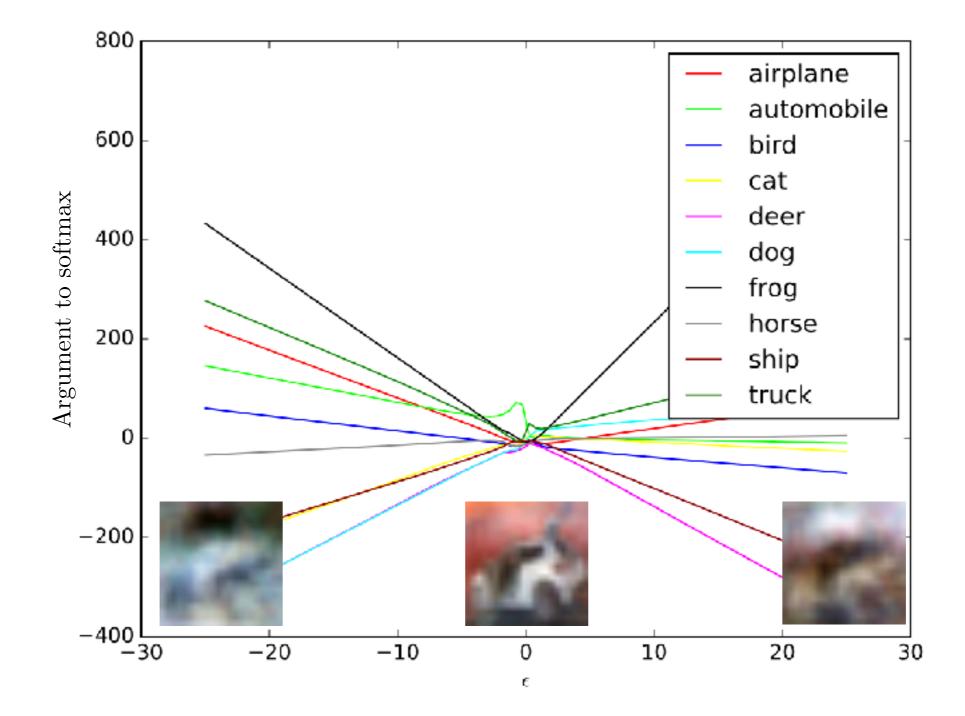
\*joint first author

#### Linear Extrapolation

Vulnerabilities



#### Neural nets are "too linear"

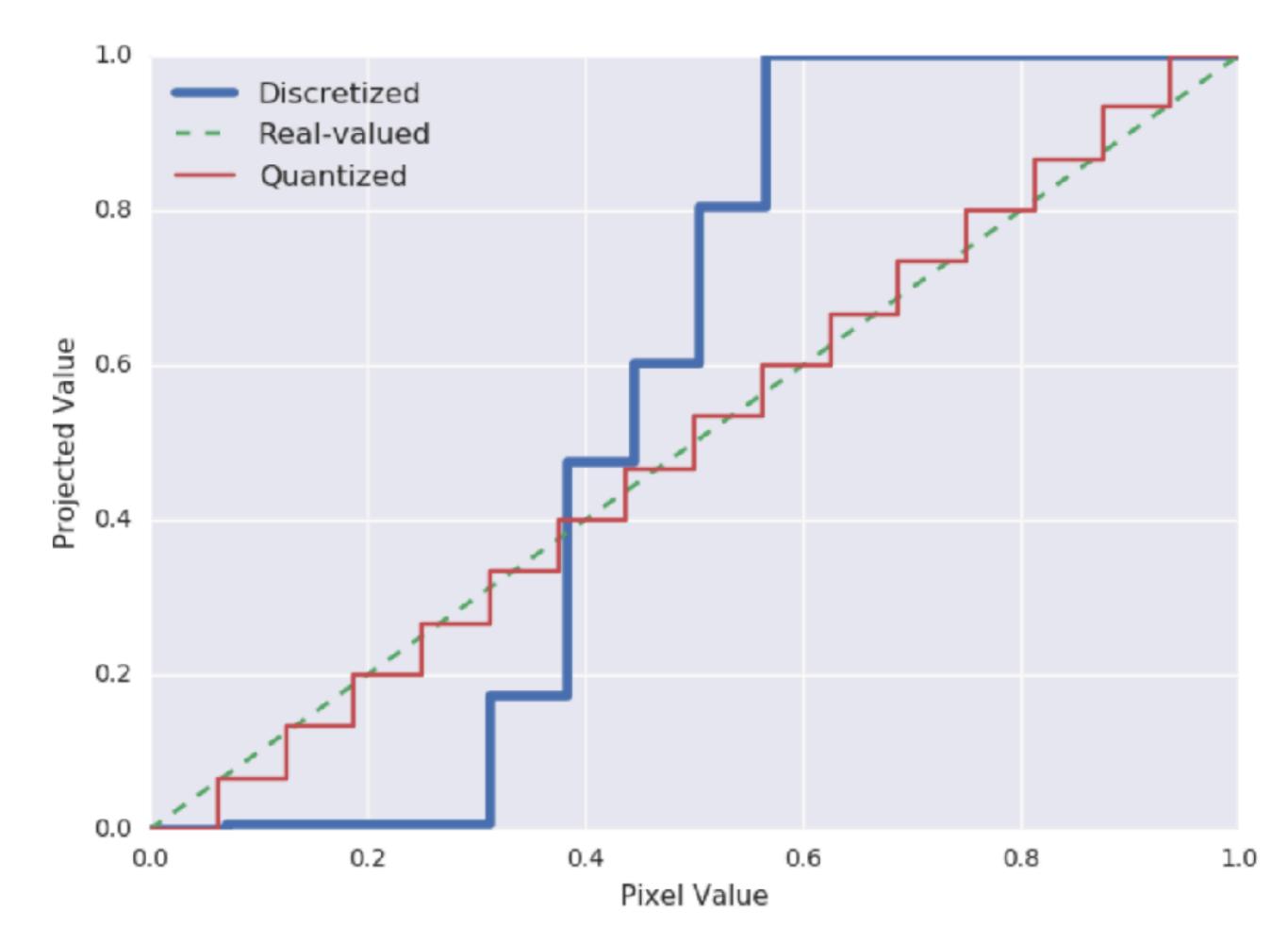


Plot from "Explaining and Harnessing Adversarial Examples", Goodfellow et al, 2014

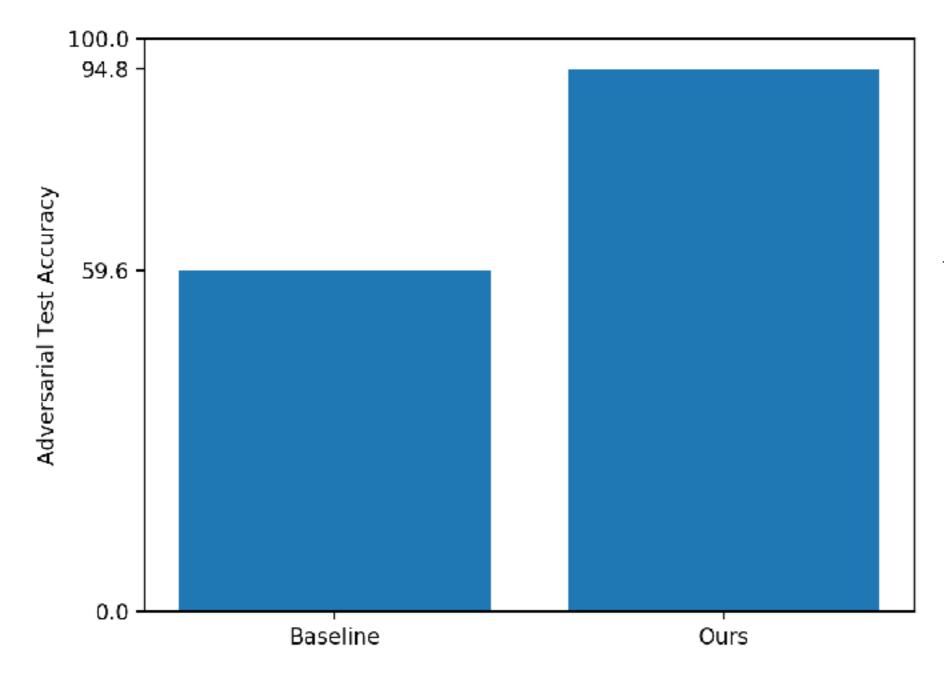
(Goodfellow 2017)

# Real-valued Quantized 0.13 0.15 0.66 0.65 0.92 0.95

Discretized (one-hot)Discretized (thermometer)[010000000][01111111][00000000][000000111][00000000][00000001]

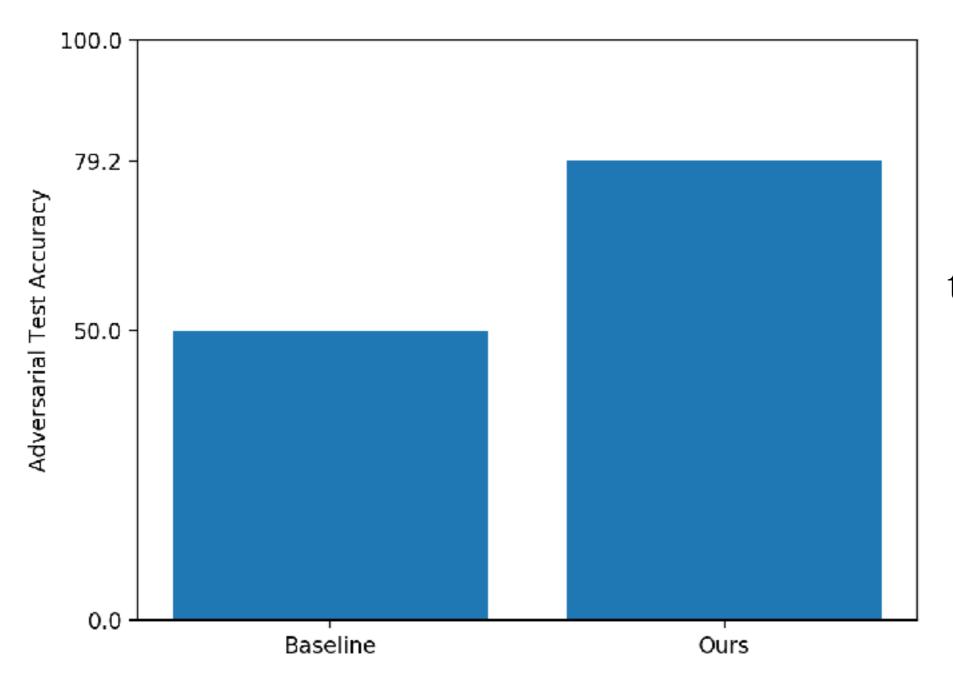


#### Large improvements on SVHN direct ("white box") attacks



5 years ago, this would have been SOTA on *clean* data

#### Large Improvements against CIFAR-10 direct ("white box") attacks



6 years ago, this would have been SOTA on *clean* data

#### Ensemble Adversarial Training



Florian Tramèr



Alexey Kurakin



Nicolas Papernot



Ian Goodfellow

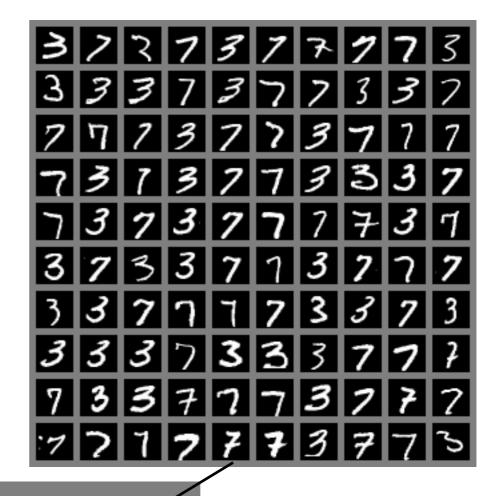


Dan Boneh



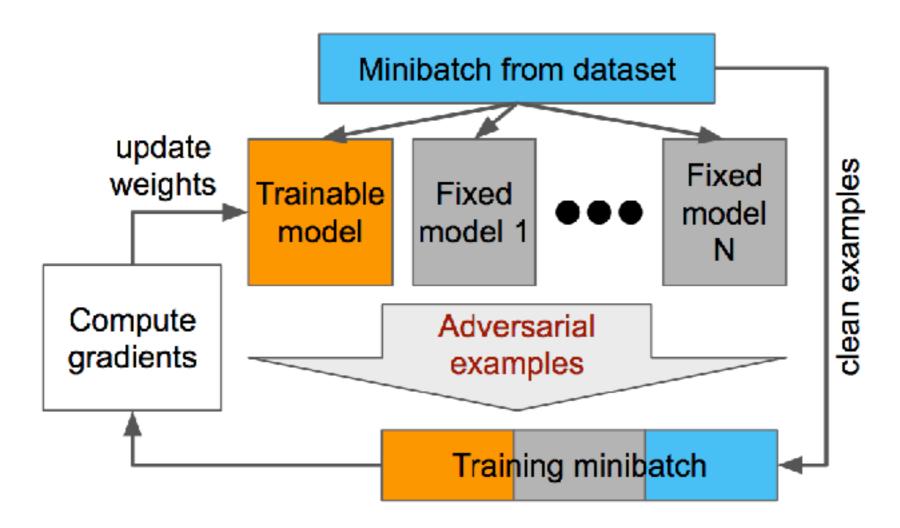
# Cross-model, cross-dataset generalization



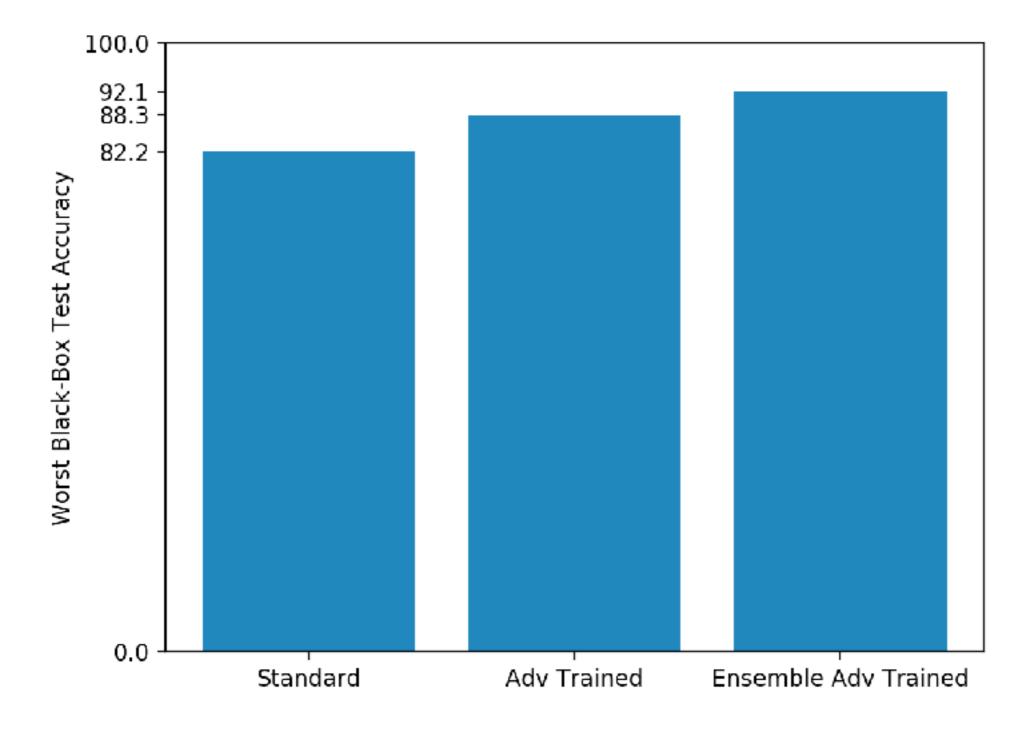


#### Ensemble Adversarial Training

Ensemble adversarial training



#### Transfer Attacks Against Inception ResNet v2 on ImageNet



#### Competition

#### Al Fight Club Could Help Save Us from a Future of Super-Smart Cyberattacks MIT Technology Review

Best defense so far on ImageNet: Ensemble adversarial training. Used as at least part of all top 10 entries in dev round 3

#### Future Work

- Adversarial examples in the max-norm ball are not the real problem
- For alignment: formulate the problem in terms of inputs that reward-maximizers will visit
- Verification methods
- Develop a theory of what kinds of robustness are possible
- See "Adversarial Spheres" (Gilmer et al 2017) for some arguments that it may not be feasible to build sufficiently accurate models

#### Get involved!

https://github.com/tensorflow/cleverhans

